**PATENT** 

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Upril 1, 2002

Tracy Gutscher/

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Randall Rex Calvert Attorney Docket No.: 501059.01

Serial No. : 10/035,544 Group Art Unit

Filed: December 28, 2001 Examiner

Title : APPARATUS AND METHOD FOR ROOT CANAL OBTURATION

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner of Patents Washington, D.C. 20231

Sir:

In accordance with 37 C.F.R. §§ 1.56 and 1.97 through 1.98, applicant wishes to make known to the Patent and Trademark Office the references set forth on the attached form PTO-1449 (copies of the cited references are enclosed). Although the aforesaid references are made known to the Patent and Trademark Office in compliance with applicant's duty to disclose all information he is aware of which is believed relevant to the examination of the above-identified application, applicant believes that his invention is patentable.

Please acknowledge receipt of this Information Disclosure Statement and kindly make the cited references of record in the above-identified application.

Respectfully submitted,

DORSEY & WHITNEY LLP

Steven H. Arterberry Registration No. 46,314

Enclosures:

Postcard Form PTO-1449; Cited Reference (1) 1420 Fifth Avenue, Suite 3400 Seattle, WA 98101 (206) 903-8800 Fax (206) 903-8820

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| PE JCS   |                    |  |             |                            | Sp/3   | 732         |  |  |
|--|--------------------|--|-------------|----------------------------|--|-------------|--|--|
| FOR FY 2001)   |                    | Complete if Known                      |             |                            |  |             |  |  |
|  |                    | Application No.                        |             |                            | 10/035,544   |             |  |  |
|  |                    | Filing Date                            |             |                            | December 28, 2001  |             |  |  |
| PREE TRANSMITTAL SHEET   | First Nar          | rst Named Inventor Randall Rex Calvert |             | II Rex Calvert             | <del>-</del>   |             |  |  |
| (FOR FY 2001)  | Group A            | rt Unit                                |             | 3732                       | S. t   | 21          |  |  |
|  |                    | Examiner                               |             |                            | Not yet assigned   |             |  |  |
|  |                    | Atty. Docket Number                    |             |                            | 501059:24  |             |  |  |
| METHOD OF PAYMENT (Check One)  |                    |  |             | EE CALCULATION (Continued) |  |             |  |  |
| The Commissioner is hereby authorized to charge any  | 3. ADDITIONAL FEES |  |             |                            |  |             |  |  |
| additional fee required under 37 C.F.R. §§ 1.16 and 1.17 and 1.136(a)(3) and credit any over payments to Deposit Account | Large En           | -                                      | Small       | •                          |  |             |  |  |
| No.: 50-1266; Deposit Account Name: DORSEY & WHITNEY LLP   | Fee Code           | Fee<br>(\$)                            | Fee<br>Code | Fee<br>(\$)                | Fee Description  | Fee paid    |  |  |
| 2. Theck Enclosed  | J105 .             | 130                                    | 205         | 65                         | Surcharge - Late and fee or oath Surcharge - late provisional filing fee     | \$          |  |  |
| FEE CALCULATION  | 127                | 50                                     | 227         | 25                         | or cover sheet   | \$          |  |  |
|  | 139                | 130                                    | 139         | 130                        | Non-English specification  For Filing a Request for                          |             |  |  |
| 1. BASIC FILING FEE Large Entity Small Entity  | 147                | 2,520                                  | 147         | 2,520                      | Reexamination  | \$          |  |  |
| Fee Fee Fee Fee Description  Code (\$) Code (\$)   | 195<br>115         | 300<br>110                             | 196<br>215  | 300<br>55                  | Publication (early or Republication)  Extension for reply within first month | \$          |  |  |
|  | 116                | 400                                    | 216         | 200                        | Extension for reply within 2 <sup>nd</sup> month                             | \$          |  |  |
| 3101 740 7201 370 Utility Filing Fee   | 117                | 920                                    | 217         | 460                        | Extension for reply within 3 <sup>rd</sup> month                             | \$          |  |  |
| 106 330 206 165 [] Design Filing Fee   | 118                | 1,440                                  | 218         | 720                        | Extension for reply within 4 <sup>th</sup> month                             | \$          |  |  |
| 108 740 208 370 [] Reissue Filing Fee  | 128                | 1,960                                  | 280         | 980                        | Extension for reply within 5 <sup>th</sup> month                             | \$          |  |  |
| 114 160 214 80 T Provisional Filing Fee  | 120                | 320                                    | 220         | 160                        | Filing a brief in support of an appeal                                       | \$          |  |  |
| And the second second  | 121                | 280                                    | 270         | . 140                      | Request for oral hearing   | \$          |  |  |
| Subtotal (1) \$ <u>0</u>   | 148                | 110                                    | 248         | - 55                       | Terminal Disclaimer Fee  | \$          |  |  |
| 2. EXTRA CLAIM FEES  | 140                | 110                                    | 240         | 55                         | Petition to revive – unavoidable   | \$          |  |  |
| Current Claims Prior Extra Fee Fee Paid  Total 41 - 41 = 0 x \$9 = \$0   | 141                | 1,280                                  | 241         | 640                        | Petition to revive – unintentional Utility/Reissue issue fee (+ advance      | \$          |  |  |
| Ind. 5 - 5 = 0 x \$42 = \$0  | 142                | 1,280<br>460                           | 242         | 640<br>230                 | copies)  Design issue fee (+ advance copies)                                 | \$          |  |  |
| IMultiple Dependent Claims x \$ = \$   | 122                | 130                                    | 122         | 130                        | Petitions to the Commissioner  | \$          |  |  |
| Subtotal (2) \$ 0  | 123                | 50                                     | 123         | 50                         | Petitions related to provisional   | \$          |  |  |
|  | 126                | 180                                    | 126         | 180                        | applications Submission of IDS   | \$          |  |  |
| Large Entity Small Entity  Fee Fee Fee Fee Fee Description   | 581                | 40                                     | 81          | 40                         | Recording each patent assignment per property (times number of               | \$          |  |  |
| 103 18 203 9 Claims in excess of 20  |                    |  |             |                            | properties) Request for Continued Examination                                |             |  |  |
| 102 84 202 42 Independent claims in excess of 3  | 179<br>Other for   | 740                                    | 279         | 370                        | (RCE)  | \$          |  |  |
| 104 280 204 140 Multiple dependent Claim   | Other fee          | e (spec                                | ıry)        |                            | Subtotal (3)   | \$ 0        |  |  |
| 109 80 209 40 Reissue independent claims over original patent  |                    |  |             |                            | Subtotal (3)   | <del></del> |  |  |
| 110 18 210 9 Reissue claims in excess of 20 and over original patent   |                    |  |             | ٦                          | Total Amount of Payment:   | \$ 0        |  |  |
|  |                    |  |             |                            |  |             |  |  |
| Submitted by:  |                    |  | 1           |                            |  |             |  |  |
| Name: Steven H. Arterberry Reg. No.: 46,314  | Reg. No.: 46,314   |  |             |                            | Telephone: (206) 903-8800  |             |  |  |

Signature: Date: \\sefile03\files\ip\documents\clients\randall calvert\501059.01\501059.01 fee trans sht 040802.doc